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RANSMITTAL LETTER TO THE UNITED STATES **∌**es•Gnated/elected office (do/eo/us) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney Docket No. UM-13022

International Application No. PCT/US2005/001363

International Filing Date: 18 January 2005 (18.01.05) 16 January 2004 (16.01.04)

Title Of Invention: SMAC Peptidomimetics and the Uses Thereof

APPLICANT(S) FOR DO/EO/US WANG, Shaomeng, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. 

  ☐ The US has been elected (Article 31).
- 5. 

  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\square$  is attached hereto (required only if not communicated by the International Bureau).
  - b. B has been communicated by the International Bureau.
  - c.  $\Box$  is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  $\square$  are attached hereto (required only if not communicated by the International Bureau).
  - b. D have been communicated by the International Bureau.
  - c. D have not been made; however, the time limit for making such amendments has NOT expired.
  - d. 

     have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- 11. 

  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. ☐ A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ☑ Other items or information: WO 2005/069888 A2; WO 2005/069888 A3 as republished; Written Opinion (Form PCT/ISA/237); International Search Report (Form PCT/ISA/210); Requested Corrections as filed on 29 March 2006.

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U.S. Application No.	586269	Attorney's Docket No. UM-13022			
	_			CALCULATIONS	PTO USE ONLY
21. ⊠ Basic National Fee \$			\$ 300.00	\$300.00	
	nal Preliminary Examin and all claims satisfy pro 33(1)-(4)	\$200.00			
the internationa		\$400.00			
All Other Situations				\$900.00	
Total Sheets Extra Sheets		Number of Additional or Fraction Thereof (round up to a whole number)		RATE	
109 - 100	9/50 =	1 x 250		\$250.00	
Surcharge of \$130.00 for priority date (37 CFR 1.4	furnishing the oath or d	leclaration later	than 30 months fro	om the earliest claimed	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL CLAIMS	30 - 20 =	10	x \$50.00	\$500.00	
INDEPENDENT CLAIMS 1 - 3 =		0	x \$200.00	\$0.00	
MULTIPLE DEPENDENT + \$36 CLAIM(S) (if applicable)		No	+ \$360.00	\$0.00	
	TOTAL OF	\$1,650.00			
Applicant claims small above are reduced by 1/2	entity status. See 37 C	FR 1.27. The fe	es indicated		
•		SUBTOTAL =	\$825.00		
Processing fee of \$130.00	for furnishing the Englishmed priority date (37	\$0.00			

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		International Application No. PCT/US2005/001363	Attorney's Docket No. UM-13022					
		TOTAL NATIONAL FEE =	\$825.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$0.00					
		\$825.00						
		Amount To Be Refunded:	\$					
			Charged:	\$				
a. ⊠	a.   A check in the amount of \$825.00 to cover the above fees is enclosed.							
ъ. □	Please charge any my <b>Deposit Account No. <u>08-1290</u></b> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
с. 🗆	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
d. ⊠	d. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to <b>Deposit Account No. 08-1290</b> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
Send All Correspondence To:								
Robert A. GOETZ  MEDLEN & CARROLL, LLP  101 Howard Street, Suite 350  San Francisco, California 94105  Phone: 415-904-6500  Fax: 415-904-6510								
1 41	Date							